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Article

The Relationship between Urban Surface Indices and Heat Islands in High and Low Building Areas

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Abstract During 2003, temperature distributions were observed 69 times in two types of residential areas in Imaihara, Nagano Prefecture, Japan. Both high and low building areas were warmer than the rural area at night. But a cool island appeared only in the high building area in the daytime. The maximum nocturnal heat island intensity occurred not at a calm condition but at the wind speed of about 1 m/s. In addition the wind speed in which the maximum heat island occurred in the high building area was larger than that in the low building area. The urban surface indices of the high and low building areas showed only small differences in the sky view factor and building/land ratio but large differences in the floor-area ratio. Floor area ratio related to heat island formation in this area.

Key words floor-area ratio, heat island, urban climate, wind speed

Introduction

The urban heat island (UHI) appears clearly on calm clear nights (Oke 1987). The temperature cools greatly after sunset in rural areas. Since the downward long wave radiation from the buildings which blocks the sky view in urban areas becomes large, the loss of net long wave radiation at the urban street creates a small change. Thus the sky view factor (SVF) was regarded as one of the important parameters in nocturnal heat island formation. Oke (1981) showed the clear linear relation between SVF and urban–rural model temperature difference with a physical scale model experiment and the same relation between the SVF on the downtown street and the maximum UHI intensity with field observations in many cities.

The existence of buildings in urban areas played an active part in heat island formation. There are many factors, such as the larger heat capacity of building constituent materials, anthropogenic heat released from the buildings, the reduction of SVF on urban facets, the large roughness of urban surface and so on.

There are some reports about a numerical study of heat island. Kusaka and Kimura (2004a, 2004b) conducted sensitivity experiments to compare the affects on the surface temperature in the urban area using a two dimensional meso scale model with a single layer urban canopy model. They used anthropogenic heat,

heat capacity, SVF and so on as an urban parameter. Martilli (2002) investigated urban influence on boundary structure using a meso scale model with an urban effect. These reports were conducted to study how different factors affected heat island formation in a virtual city under ideal meteorological conditions.

Tokairin et al. (2006) pointed out that the formation of the turbulent mixing due to the buildings and the drop of wind speed played an important part in a nocturnal urban heat island formation by comparing the results of a canopy model in 23 wards in Tokyo with the result of a field study in summer.

The turbulence structure of the nocturnal urban boundary layer was observed in Sapporo by Uno et al. (1988). They concluded that the mechanism of the nocturnal urban boundary layer formation was found to be controlled by the downward transport of sensible heat from elevated inversion which was caused by mechanically-generated turbulence.

Ohashi et al. (2007) demonstrated that the validation of the model results was not always enough because 1) as a result of the heterogeneity of urban temperature distribution a large number of temperature measurements were required for comparison with the model simulation and 2) it was difficult to measure directly the influence of waste heat from air conditioners under the urban thermal environment.

The heat capacity of a building and a city can not be

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measured easily, either. The urban canopy indices which are vegetation index, building/land ratio, floor-area ratio, and SVF value are measured and calculated. There are some reports between UHI intensity and these factors (ex. SVF, Oke (1981), Park (1987); area ratio of land use, Fukuoka (1983)). The correlation between UHI intensity and individual parameter can be seen in each report. But there was apparently no report which discussed parameters synthetically using field survey data.

In this study, temperature distributions in and around a high building area and a low building area were observed and the urban canopy indices were measured. We analyzed the relation between them and UHI intensity.

Research site

The study focussed on the Imaihara area south of the city of Nagano, Nagano Prefecture, Japan (Figure 1). In particular, we examined the Imai housing estate (population 2,000) that had been developed amongst numerous orchards and paddies to the northeast. While the estate could not be regarded as an isolated settlement, its building heights differ from those of neighboring low building residential areas. The area has low traffic density, especially at night. At the time of study, Imai had 19 residential buildings and two assembly buildings. The residential buildings were 4 to 14 stories tall (Figure 1). Because the buildings in Imai were higher than those in its environs, we designated this area as the high building area. In contrast, study points 5, 7, and 9 to the east of Imai where 2- to 3-story buildings dominated were deemed the low building area. Sites 11-17, 34, and 35, comprised mainly of orchards or paddies, were chosen as rural sites. Finally, we regarded points 1-4, 10, 18-21, and 31-33, which were located at the boundary between different land uses, and points 6, 36, and 37, located on a higher traffic-density road, as "other" areas.

Methods

Observations

Heat island observation points were selected at approximately 100-m intervals (Figure 1). We travelled to predetermined observation points by car; Figure 1 shows the order of observations.

Temperatures were measured with a thermistor probe (9021-01; Hioki E.E. Corporation, Ueda, Japan) that was connected to a handheld computer in the car. The sensor had an accuracy of within $\pm 0.2^{\circ}$ C and a time constant of approximately 300 seconds without ventilation. However, because the car moved at 10 m/s during measurements,

the sensor was ventilated. At this speed, the time constant was within 10 seconds. Observation data were recorded in the computer and then transferred to a desktop computer through a RS232C cable after each observation.

The thermistor probe was fixed in a radiation shield tube comprised of a polyvinyl chloride cylindrical pipe with diameter, thickness, and length of 107, 3, and 3600 mm, respectively. The radiation shield was a pipe covered with an aluminium sheet to prevent a temperature increase caused by radiation. This radiation shield was connected to a polyvinyl chloride cylindrical pipe, 80 mm in diameter and 3200 mm in length, using a connector. The pipe was fixed on the top of the roof luggage carrier of the automobile, thus setting the radiation shield 1.5 m above the ground over the fender of the automobile. This position was the location least likely to receive engine heat (Sahashi 1983). Because observations were conducted while driving at a constant speed, no ventilation device was needed.

We travelled through 37 points in the numeral order shown in Figure 1. The traverse route was about 8 km in length and took approximately 40 minutes to complete. Time corrections were conducted at mid-observational time. The Imai housing estate and its environs are located in an area free from local topographic factors.

Temperature distributions were observed 69 times on days without precipitation from 14 January 2003 to 24 December 2003 (Table 1). Residential and rural area roads were partially covered by snow from January to March. Paddies were flooded from June to August and drained in September; rice was harvested in October.

Representative wind direction and wind speed in this area

Wind direction and wind speed were observed at the Shinonoi observatory at the Nagano Health Center located 2 km south of the observation area (Figure 1, symbol •). The anemometer was set above the roof at approximately 20 m above the ground.

Vegetation index, building land ratio, and floor-area ratio

The building/land ratio was defined as the proportion of the building area to the lot area. We calculated the building/land ratio of 250-m squares at the center of observation points using a personal computer and image scanner. The floor-area ratio was defined as the proportion of the entire floor area of the building to the lot area. We calculated the floor-area ratio of 250-m squares surrounding the central observation point

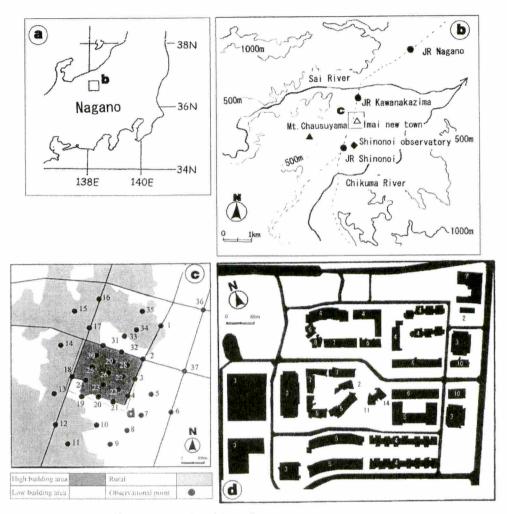


Figure 1. Location of the study area.

Numbers in Figure 1d denote the number of floors in each building.

(Figure 2). The entire floor area was then calculated as the floor area multiplied by the number of stories, assuming that each floor had the same shape. The vegetation index was determined by the proportion of the lot area to vegetated areas (including fields, paddies, and orchards) surrounding the central observation point.

Sky view factor

The SVF was measured using a camera (F90X, Nikon, Tokyo, Japan) and a fisheye lens (fisheye-NIKKOR 8 mm F2.8; Nikon). We fixed the camera on the tripod. The shooting points were the same as the observational points. To determine SVF, we inserted a film negative in a slide mount and projected the image on a wall using a slide projector. We then affixed a chart for calculating

SVF (Itoh 1976) on the wall. The chart was divided into 500 blocks at the same projection area. We counted the number (n) of blocks corresponding to the sky using the projection image on the chart. The SVF was then calculated as follows:

$$SVF = n \times 0.002 \tag{1}$$

Average Temperature Anomalies and Altitudes of Observation Points

The average temperature was determined for each observation. We calculated the temperature anomaly at each point using the average temperature for each point. We classified the observational days as cloudy nights,

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Table 1. Meteorological conditions on observational dates

	Date	Time B	ATUM (YC)	Cloud	Shinonoi observatory				1,16		8	- g	Shînonoi observatory		
No					Hour	m/s	Wind Ori.	No	Date	Time	Min-1	Cloud	Hour	m/s	Wind Ori.
1	030114	2155-2222	0.6	9	22	0.8	E	36	030702	2202-2220	1,4	6	22	0.2	С
2	030116	1155-1220	1.3	4	12	0.7	SŁ	37	030704	2213-2237	0.7	9	22	5.4	ENE
3	030117	1308-1339	1.8	3	13	2.5	ENE	38	030719	2153-2212	0.6	3	22	4	SW
4	030117	2151-2222	1.4	4	22	1.3	SSW	39	030726	2208-2229	0.6	9	22	1.7	ENE
5	030121	2200-2225	1.2	5	22	0.8	NE.	40	030802	2216-2238	0.9	2	22	1.4	E
6	030130	2154-2226	1.7	0	22	0.1	C	41	030810	2223-2241	0.7	9	22	0.6	NNE
7	030206	2149-2218	1.3	0	22	0.8	SSW	42	030813	2240-2300	0.7	6	23	3.9	WSW
8	030228	1325-1346	1,8	0	13	19	ENE	43	030815	2254-2314	* 0.6	9	23	3.7	SW
9	030228	2145-2206	1.2	0	22	0.9	£	44	030824	2240-2301	1.5	4	23	0.8	SSW
1.0	030311	2152-2215	0.5	2	22	2	WNW	45	030827	2244-2303	1.5	9	23	26	SW
1.1	030313	1219-1248	27	3	12	3.7	ENE	46	030908	2210-2231	1.5	1	22	3.4	WSW
12	030313	2150-2209	1.1	2	22	1.3	£	47	030910	2208-2229	1.1	7	22	14	N
1.3	030314	2204-2224	0.9	9	22	0.2	С	48	030917	2155-2215	2.2	6	22	0.7	N
14	030324	2204-2223	1.1	10	22	1.4	NNE	49	030918	2148-2207	1,4	9	22	0.5	NNW
15	030325	2157-2217	0.4	3	22	2.5	NE	50	030927	2154-2213	* 1.0	7	22	1.2	NNE
16	030326	2138-2201	1.5	2	22	1,4	SSW	51	030928	2159-2219	1.0	6	22	1	NE
17	030327	2142-2201	1.0	2	22	2.2	WNW	52	031002	2222-2241	1,4	-1	22	1.2	NE
18	030401	2153-2213	1,1	1	22	14	SW	53	031006	2144-2211	2.2	4	22	0.2	C
19	030410	2146-2207	1.5	0	22	07	NW	54	031015	2148-2210	1.7	1	22	0.7	ENE
20	030415	2143-2203	0.8	a	22	3.8	SW	55	031027	1226-1246	1.3	3	12	1.7	SW
21	030418	2151-2210	2.5	9.	22	0.2	C	56	031027	2136-2156	0.9	1.	22	4.5	WSW
22	030421	2151-2214	0.6	6	22	1.5	NΕ	57	031029	1244-1302	1.3	1	13	7,3	ENE
23	030422	2152-2216	0.7	5	22	3.3	wsw	58	031029	2155-2217	2.2	0	22	0.6	NNW
24	030428	2151-2211	2.1	0	22	0.4	C	59	031030	1249-1309	0.9	0	13	4.6	ENE
25	030503	2201-2220	2.1 .	0	22	1,1	N	60	031102	2151-2213	2.9	0	22	0.7	ŁSŁ
26	030506	2209-2231	0.5	2	22	4.6	SW	61	031104	2154-2216	1.3	3	22	4.8	WSW
27	030510	2208-2229	*08	3	22	15	SSW	62	031105	1244-1310	0.6	10	13	2.2	ENE
28	030526	2156-2218	0.4	3	22	4.1	SW	63	031116	2134-2153	0.6	3	22	3.4	NE
29	030528	2220-2242	2.5	6	22	1	ESE	64	031122	2151-2212	1,7	1	22	0.8	NNW
30	030604	2200-2221	1.6	1	22	03	C	65	031128	2154-2215	0.3	3	22	4.8	SW
31	030605	2206-2224	23	1	22	0.5	NNW	66	031203	2155-2217	1.3	3	22	2.8	N
32	030606	2127-2147	1.8	9	22	0.5	SSE	67	031223	1303-1328	1.7	0	13	0.5	ENE
33	030608	2145-2206	3.0	3	-22	1,4	SW	68	031223	2145-2207	2.5	1	22	0.2	C
34	030609	2134-2154	0.7	3	22	4,9	ŠŴ	69	031224	1243-1310	2.0	1	13	11	ENE
35	030630	2232-2254	1.3	3	22	0.2	С	Turning and			***************************************			11.10	

The "*" symbol denotes a data set that is lacking in data.

fine nights and fine days. After removing observations with missing data, our data set contained 45 runs for fine nights, 11 runs for cloudy nights, and 10 runs for fine days. We defined fine days for this study as daytime with fine and clear weather.

Figure 3 shows the average temperature anomaly and standard deviation at each point. The horizontal axis

denotes the distance from point 1. Symbols \blacksquare , \Box , \bigcirc , and \bullet represent high building, low building, rural, and mixed land use, respectively. The horizontal bar shows the standard deviation of the temperature anomaly, and the dotted line denotes the altitude of points. Since the altitude difference among points was about 3 m, it was unnecessary to correct by the height difference. No large

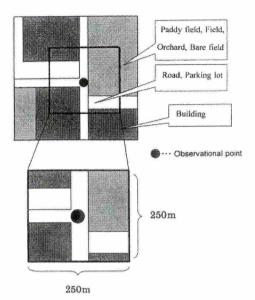


Figure 2. Outline of land use around the observational points.

differences between fine nights and cloudy nights were found. The average temperature anomaly for the high building area was higher than that for other areas at night; however, the average temperature anomaly in the high building area was lower than that of the other areas in the daytime. The average temperature anomalies at points 6, 36, and 37 were higher than those of other areas for days and nights, a pattern that can likely be explained by these areas having the highest traffic densities.

Horizontal Temperature Distributions

Figure 4 shows the horizontal temperature distributions during a distinct heat island occurrence. At that time, there was a 1.4 m/s southwest wind, and the cloud amount was 3. There was a warm zone around points 27 and 28 within the Imai housing estate, and the UHI intensity was 3.0°C. We selected 29 cases with cloud amounts of 0–0.8 and wind speed less than 1.5 m/s to study heat islands on clear, calm nights. The average temperature distribution pattern (not shown) was similar to that in Figure 4.

Next, we examined the average temperature distribution on cloudy, calm nights. We selected seven cases with cloud amounts of 0.9–1 and wind speeds less than 1.5 m/s. The pattern (not shown) was similar to that in Figure 4 but the urban-rural temperature difference was smaller than that on clear, calm nights.

Figure 5 shows the average temperature distribution on nine fine days with cloud amounts of 0-0.8. A cold

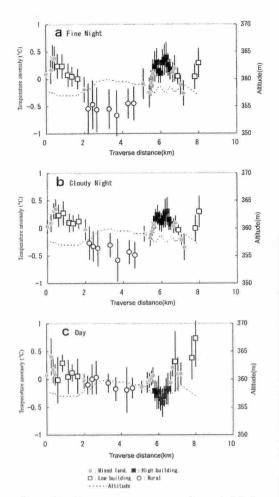


Figure 3. Mean temperature anomalies and altitudes in the traverse order.

●: Mixed land, ■: High building area, □: Low building area, O: Rural area, ----: Altitude.

zone was found near point 27 within the Imai housing estate. Warm zones were located around points 6, 36, and 37; this feature may have been caused by the heavy road traffic and vehicle exhaust heat.

Urban Heat Island Intensity and Wind Speed

We compared the average temperature differences between high building and rural areas, Δ Th-r, and those between low building and rural areas, Δ Tl-r, on fine nights with wind speeds less than 1.5 m/s (31 cases) and cloudy nights with wind speeds less than 1.5 m/s (seven cases). On fine nights, the Δ Th-r value of 0.9°C exceeded the Δ Tl-r value of 0.7°C on fine, calm nights. However, Δ Th-r and Δ Tl-r both equalled 0.6°C on cloudy, calm

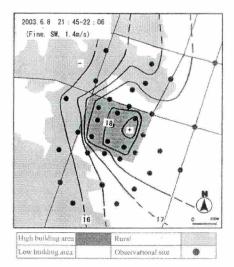


Figure 4. Temperature distributions for a distinct heat island

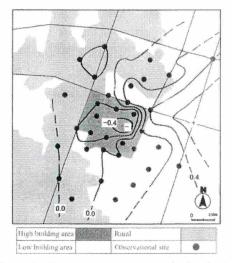


Figure 5. Mean temperature anomaly distribution during the day.

nights. These data suggest that construction materials with increased thermal admittance caused an urban heat island effect.

We next examined the dependence of heat island intensity on wind speed for 47 fine nights and 12 cloudy nights. The development of UHI varied by the season, because of the change of meteorological conditions and the other factors such as anthropogenic heat, precipitation, wind speed and cloud cover govern UHI (Oke 1973). Thus we took off data in days concerned in precipitation. And we divided the remaining days into fine days and cloudy days. Figure 6a shows the relationship

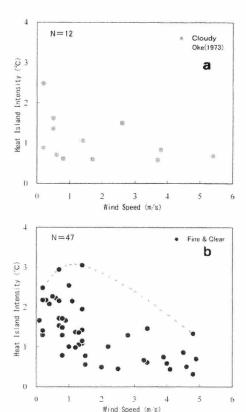
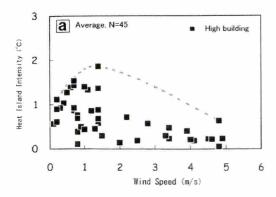


Figure 6. Relationship between wind speed and heat island intensity for fine and cloudy nights.

The bold solid line denotes the fitting line determined by Oke (1973) for a population of 2,000.

between nocturnal UHI intensity and wind speed for cloudy days. The bold solid line denotes the fitting line determined by Oke (1973) for a population of 2,000—the larger the wind speed, the smaller the upper limit of UHI intensity. It agreed with the fitting line by Oke (1973). The UHI intensity predicted by the fitting line for a population of 3,000 was 0.2°C higher for a wind speed of 1 m/s, 0.1°C higher for a 2 m/s wind speed, and 0.1°C higher for a 3 m/s wind speed than that for a population of 2,000.

Figure 6b illustrates the relationship between nocturnal UHI intensity and wind speed on fine days. The dotted line denotes the upper limit of UHI intensity, which means the optimal condition in which the factors, except for wind speed, act on heat island formation. As the wind speed increased, UHI intensity decreased. However, the upper limit did not simply become small, and reached a maximum at a wind speed of 1.4 m/s. This result agreed with that found by Sakakibara and Mieda (2002). The maximum wind speed in Sakakibara and Mieda's (2002) study was larger than that in this study; they had also



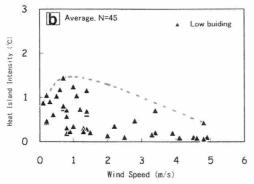


Figure 7. Relationship between wind speed and the urban-rural temperature difference for high and low building areas.

The dotted line denotes the upper limit of the urbanrural temperature difference.

examined an area with a larger urban population of 200,000.

Figure 7 shows the relationship of the urban-rural temperature difference and wind speed for 45 fine nights. We selected points 22–30 as the high building area, points 5 and 7–9 as the low building area, and points 11–17, 34, and 35 as the rural area and calculated the average temperature in each area. The dotted line denotes the upper limit of the urban-rural temperature difference, which increased until a certain wind speed and then gradually declined as the wind speed increased. The upper limit for the high building area occurred at a wind speed of 1.4 m/s and that for the low building area occurred at a wind speed of 0.7 m/s.

Discussion

Our results suggest that building height plays an important role in nocturnal heat island formation. We paid attention to differences in urban canopy indices between high and low building areas. We selected points

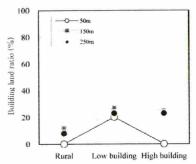


Figure 8. Building land ratios for rural, low building, and high building areas.

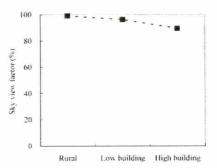


Figure 9. Sky-view factors for rural, low building, and high building areas.

22–30 as representative of a high building area, point 5, 7–9 for the low building area, and point 11–17, 34, 35 for the rural area as decided previously. We used the vegetation index, building/land ratio, floor-area ratio, and SVF as the urban canopy indices.

The building/land ratio also varied with the size of the range (Figure 8). However, building/land ratios for a square with 250-m-long sides was nearly the same as that for a block with 150 m long sides. The average values for lengths of 150 and 250 m for the high building area were similar to those for the low building area but longer than those of the rural area. The SVF and vegetation indices of the high building area were the largest of the three areas (Figures 9 and 10); however, the difference among the areas was small (under 20%). Remarkably, there was also little difference in the SVF, and values of SVF exceeded 0.8. This result likely reflects city planning in the Imai housing estate, which places wide roads with large green spaces among the high buildings. Scale model experiments by Oke (1981) showed that canyon geometry did not affect heat island formation if the SVF were large (i.e., 80-100%). Notably, there was also little difference in the building/land ratio between the high and low building

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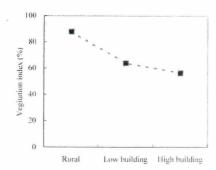


Figure 10. Vegetation indices for rural, low building, and high building areas.

areas.

A distinct change in urban canopy indices due to high buildings appeared in the floor-area ratio (Figure 11). It may be related to the increase of anthropogenic heat and roughness which lead to the mixing of urban atmosphere.

Next, we discuss cool island formation in the high building area in daytime. A cool island was not observed in the daytime temperature distributions for Obuse Town, Nagano Prefecture, Japan (Sakakibara 1999), where low buildings of two to three floors dominated the urban landscape. However, a cool island was found in the Imai housing estate, dominated by high buildings. The daytime cool island can be explained as follows: high buildings kept the area shaded longer, and the temperature increase in the urban area was small due to the large heat capacity of the buildings.

The SVF was also related to the effect of sun screening, and the floor-area ratio was involved with the heat capacity. Because differences in the SVF were small and those in the floor-area ratio were large, the cause of a daytime cool island may not be screening of the sun by buildings but rather the large heat capacity of high buildings. This result disagrees with that of Sugimoto and Kondo (1994).

Here, we discuss a feature of the nocturnal heat island by examining urban canopy indices. As the wind speed increased, the upper limit of UHI intensity did not simply become smaller; maximum value occurred at specific wind speeds. The maximum value for the high building area was larger than that for the low building area. Similarly, the wind speed for the high building area at which the maximum attenuation value occurred, was larger than that for the low building area. This result may reflect mechanical mixing of the urban atmosphere by the rough urban surface. The UHI intensity upper limit was regarded as the point in which factors, except the wind speed factor, acted ideally in creating the UHI

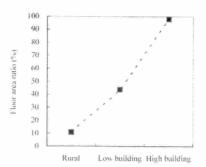


Figure 11. Floor-area ratios for rural, low building, and high building areas.

intensity. In this process, radiative cooling increases, the inversion layer develops, and the surface cools well during calm, fine nights, especially in rural areas. When the wind speed becomes high, the air on the ground generally mixes with air at higher levels. Even under weak wind conditions, the urban air on the ground mixes with warmer air above the urban surface because of the greater roughness in urban areas. Because cooling of the urban surface becomes small, a heat island can develop. When the wind speed increases still further, the inversion layer in rural areas is broken, and the UHI intensity declines. Consequently the maximum UHI intensity is considered to occur at a certain wind speed. The mixing depth for the high building area is also considered to be higher than that in a low building area, because the higher the building, the more the energy required for mixing. The energy needed may depend on the wind speed. The large roughness values in high building areas and with certain wind speeds thus gives rise to the nocturnal heat island

Otherwise heat island intensity decreased as the winds became strong judging from the scatter diagram of wind speed and nocturnal UHI intensity roughly (Figures 6, 7) speaking. This suggests that nocturnal UHI is caused by anthropogenic heat, heat storage and so on, but the effect of mechanical mixing added to the cause of UHI formation when UHI appears remarkably.

Conclusions

The effect of the buildings in an urban area on UHI is studied with field observation in a high building area and a low building area. Both building areas showed little difference in SVF, vegetation index, and building/land ratio values. This was brought about because of the regulation for the right to sunshine and the construction standard for large scale new town development. On the

other hand, the floor-area ratio in the high building area was much larger than those in the low building area. Both high and low building areas were warmer than the rural area at night. But a cool island appeared only in the high building area in the daytime. It was suggested that this is due to larger heat capacity in the high building area. The maximum nocturnal UHI intensity occurred not at a calm condition but at the wind speed of about 1 m/s. In addition the wind speed in the high building area was higher than that in the low building area. The results suggest nocturnal UHI is raised by the mechanical mixing of the urban atmosphere and anthropogenic heat emission. The estimation of anthropogenic heat emission and the observation of vertical temperature/wind profile in and above an urban canopy layer and rural areas are the key to further clarify the cause of UHI.

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