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和泉, 正明 / IZUMI, Masa-aki

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# ON SOME RELATIVIZED COMPLEXITY CLASSES DEFINED BY EXPONENTIAL-TIME-BOUNDED ORACLE TURING MACHINES

Masa-aki IZUMI

## Abstract

Let for all  $k \geq 1$   $\mathcal{NE}_k$  denote the class of languages acceptable by  $2^{kn}$ -time-bounded Turing machines making at most  $n^k$  nondeterministic moves on inputs of length  $n$  for each  $n$ . For any  $k \geq 1$ ,  $\text{DEXT} \subseteq \mathcal{NE}_k \subseteq \text{NEXT}$ . We shall construct oracles  $A$ ,  $B$  and  $D_k$  ( $k \geq 2$ ) such that

- (1)  $\text{DEXT}^A = \mathcal{NE}_1^A = \mathcal{NE}_2^A = \dots = \mathcal{NE}_k^A = \dots = \mathcal{NE}^A = \text{NEXT}^A$ ;
- (2)  $\text{DEXT}^B = \mathcal{NE}_1^B \subsetneq \mathcal{NE}_2^B \subsetneq \dots \subsetneq \mathcal{NE}_k^B \subsetneq \dots \subsetneq \mathcal{NE}^B$ ;
- (3)  $\text{DEXT}^{D_k} = \mathcal{NE}_1^{D_k} \subsetneq \mathcal{NE}_2^{D_k} \subsetneq \dots \subsetneq \mathcal{NE}_k^{D_k} = \mathcal{NE}_{k+1}^{D_k} = \dots \mathcal{NE}^{D_k} = \text{NEXT}^{D_k}$ .

Results regarding closure of the classes  $\mathcal{NE}_k$  under complementation are also given. Furthermore, we investigate relativized versions of the open question of whether  $\text{NEPT}$  equals  $\text{co-NEPT}$ , where  $\text{co-NEPT} = \{L \mid \bar{L} \in \text{NEPT}\}$ .

## 1. Introduction

Let  $\text{DEXT}$  (resp.  $\text{NEXT}$ ) be the class of languages accepted by deterministic (resp. nondeterministic)  $2^{cn}$ -time-bounded Turing machines, where  $2^{cn}$  means  $\lambda n[2^{cn}]$  for some  $c$ . Clearly,  $\text{DEXT} \subseteq \text{NEXT}$ . We do not know whether  $\text{NEXT}$  properly contains  $\text{DEXT}$ . Now, let for all  $k \geq 1$   $\mathcal{NE}_k$  denote the class of languages acceptable by  $2^{kn}$ -time-bounded Turing machines making at most  $n^k$  nondeterministic moves on inputs of length  $n$  for each  $n$ . Then the following hierarchy holds:

$$\text{DEXT} = \mathcal{NE}_1 \subseteq \mathcal{NE}_2 \subseteq \dots \subseteq \mathcal{NE}_k \subseteq \dots \subseteq \mathcal{NE} \subseteq \text{NEXT}$$

Refining the original question  $\text{DEXT} = ? \text{NEXT}$ , we can ask whether  $\mathcal{NE}_k = ? \mathcal{NE}_{k+1}$  for any  $k \geq 1$ . The latter question for any  $k$  is open, too. To support this we can relativize the question analogously to the work of Baker, Gill and Solovay<sup>1)</sup>, and show that for every  $k \geq 1$ , the corresponding relativized questions have affirmative answers for some oracles but negative answers for other oracles. Thus, for every  $k \geq 1$ , we feel that this is further evidence of the difficulty of the  $\mathcal{NE}_k = ? \mathcal{NE}_{k+1}$  question. We also construct oracles for each  $k$  such that the relativized version of the above hierarchy is distinct up to the  $k$ -th level but collapses from the  $k$ -th level onwards. The closure property of these relativized classes under complementation is also investigated.

Let  $\text{NEPT}$  be the class of languages accepted by nondeterministic  $2^p$ -time-bounded

Turing machines, where  $p$  is a polynomial. It is not known whether NEPT equals co-NEPT, where  $\text{co-NEPT} = \{L \mid \bar{L} \in \text{NEPT}\}$ . We investigate relativized versions of this question. In particular, we shall construct oracles  $G$  and  $H$  such that (i)  $\text{DEPT}^G \neq \text{NEPT}^G$  but  $\text{NEPT}^G$  is closed under complementation; (ii)  $\text{DEPT}^H \subsetneq \text{NEPT}^H \cap \text{co-NEPT}^H \subsetneq \text{NEPT}^H$ .

We use in the present paper some methods developed in Baker-Gill-Solovay<sup>1)</sup>, Book<sup>2)</sup> and Kintala-Fischer<sup>3)</sup>.

## 2. Preliminaries

Let  $\omega$  be the set of all natural numbers and let  $\Sigma$  be an alphabet. We assume  $\Sigma = \{0, 1\}$ .  $\Sigma^*$  denotes the set of all finite strings consisting of 0's and 1's. A subset  $L$  of  $\Sigma^*$  is called language and we denote the complement of  $L$  by  $\bar{L}$ :  $\bar{L} = \Sigma^* - L$ . For  $x \in \Sigma^*$ ,  $|x|$  denotes the length of  $x$ . Our model for computation is the oracle Turing machine. An *oracle Turing machine* (abbreviated by OTM) is a multi-tape Turing machine with a *query tape* and with three special internal states called the *query state*  $q?$ , the *yes state*  $q_Y$  and *no state*  $q_N$ . When an OTM  $M$  is associated with an *oracle*  $X \subseteq \Sigma^*$ , we denote it by  $M^X$  and call an OTM with oracle  $X$ . When an OTM  $M^X$  enters the state  $q?$ , the machine asks the oracle  $X$  whether the string written on its query tape belongs to  $X$ . If the string is in  $X$ , then  $M^X$  enters  $q_Y$ , otherwise  $M^X$  enters  $q_N$ .

If the next-state-operation of  $M$  is single-valued is said to be *deterministic*, otherwise  $M$  to be *nondeterministic*. Now suppose that  $M^X$  runs on an input  $x$  and  $M^X$  halts after some running time. If the final state of  $M$  is a special state called an accepting state we say  $M^X$  *accepts*  $x$ . Otherwise we say  $M^X$  *rejects*  $x$ . Let  $L$  be a language.  $L$  is *accepted* (or *recognized*) by an OTM  $M$  with oracle  $X$  (denoted by  $L = R(M^X)$ ) if the following condition holds:

$$\forall x \in \Sigma^* [x \in L \text{ iff } M^X \text{ accepts } x].$$

Let  $f$  be a function from  $\omega$  into  $\omega$  ( $f: \omega \rightarrow \omega$ ). An OTM  $M$  is *f-time-bounded* if every computation of  $M$  on any input  $x$  halts within  $f(|x|)$  steps, whatever oracle  $X$  is used.  $\text{DTIME}^X(f)$  (resp.  $\text{NTIME}^X(f)$ ) is the class of languages accepted by deterministic (resp. nondeterministic)  $f$ -time-bounded OTM's with oracle  $X$ . We consider the following classes of languages:

$$\mathcal{P}^X = \bigcup_{i=1}^{\infty} \text{DTIME}^X(\lambda n [n^i]),$$

$$\text{DEXT}^X = \bigcup_{c=1}^{\infty} \{\text{DTIME}^X(\lambda n [2^{cn}] \mid c > 0\} \text{ and}$$

$$\text{DEPT}^X = \bigcup \{\text{DTIME}^X(\lambda n [2^{p(n)}]) \mid p \text{ is a polynomial}\}.$$

$\mathcal{NP}^X$ ,  $\text{NEXT}^X$  and  $\text{NEPT}^X$  are nondeterministic counterparts of  $\mathcal{P}^X$ ,  $\text{DEXT}^X$  and  $\text{DEPT}^X$ , respectively.

A  $c$ -ary nondeterministic move of a machine is a move in which the number of

choices for the next step of the machine is  $c$ . Such a nondeterministic move is sometimes said to have a *fan-out* of  $c$ . By a nondeterministic move, we mean a "strict" nondeterministic move where there are at least two choices for the next step of the machine. Any OTM  $M$  can be so designated that all the nondeterministic moves made by  $M$  have the same fan-out  $c$  for some constant  $c$ , which depends on  $M$ .

**Definition 2. 1.** For any  $k \geq 1$  and for any oracle  $X$ , let  $\mathcal{NE}_k^X = \{L \mid L \subseteq \{0, 1\}^*\}$  and there is a constant  $c$  such that  $L$  is accepted by a  $2^{11n}$ -time-bounded OTM with oracle  $X$  making at most  $n^k$   $c$ -ary nondeterministic moves on inputs of length  $n$  for each  $n$ .

We also define  $\mathcal{NE}^X = \bigcup_{k=1}^{\infty} \mathcal{NE}_k^X$ .

For any oracle  $X$ ,

$$\text{DEXT}^X = \mathcal{NE}_1^X \subseteq \mathcal{NE}_2^X \subseteq \dots \subseteq \mathcal{NE}_k^X \subseteq \dots \subseteq \mathcal{NE}^X \subseteq \text{NEXT}^X.$$

Let  $DE_i$  (resp.  $NE_i$ ) be the  $i$ -th deterministic (resp. nondeterministic) exponential-time-bounded OTM with its strict time-bound  $g_i$ , where  $g_i(n) = 2^{a_i n}$ ,  $0 < a_0 < a_1 < \dots$ . For each  $i$ , we can effectively determine a  $c_i$  such that  $c_i$  is the fan-out of the nondeterministic moves made by  $NE_i$ . For any  $k \geq 1$ , let  $NE_{i,k}$  denote the OTM which results by attaching a  $\lambda n[n^k]$ -time clock to  $NE_i$ . This clock stops  $NE_i$  if  $\lambda n[n^k]$  nondeterministic moves are exceeded. Let  $DEP_i$  (resp.  $NEP_i$ ) be the  $i$ -th deterministic (resp. nondeterministic) exponential-polynomial-time-bounded OTM with its strict time-bound  $h_i(n) = 2^{p_i(n)}$ , where we may assumed  $p_i(n) = C_i n^{C_i}$ ,  $0 < C_0 < C_1 < \dots$ .

For every  $k \geq 1$  and all oracles  $X$ , a language  $L_0$  is  $\mathcal{NE}_k^X$ -complete if (i)  $L_0 \in \mathcal{NE}_k^X$  and (ii) for  $L \in \mathcal{NE}_k^X$  there is function  $\varphi: \Sigma^* \rightarrow \Sigma^*$  such that  $\varphi$  is computable by a deterministic exponential-time-bounded transducer [then we say " $\varphi$  is exponential-time-computable"] and such that for every  $x$   $x \in L$  iff  $\varphi(x) \in L_0$ . We denote (ii) by  $L \leq_m^E L_0$ .

### 3. $\mathcal{NE}_k = ? \mathcal{NE}_{k+1}$ question

It is known that there exists an oracle set  $A$  such that  $\text{DEXT}^A = \text{NEXT}^A$ . It is obvious that for any such  $A$ ,  $\mathcal{NE}_k^A = \mathcal{NE}_{k+1}^A$  for every  $k \geq 1$ .

**Definition 3. 1.** For every  $k \geq 1$  and all oracles  $X$ , let

$$L_k(X) = \{x \mid \exists y [ |y| = |x|^k \text{ and } \omega^{2^{1^{|y|}}} y \in X ] \}.$$

Clearly,  $L_k(X) \in \mathcal{NE}_k^X$ . Note that  $| \omega^{2^{1^{|y|}}} y |$  is always even.

**Theorem 3. 2.** There is an oracle  $B$  such that  $\mathcal{NE}_{k-1}^B \subsetneq \mathcal{NE}_k^B$  for every  $k \geq 2$ , i.e.,

$$\text{DEXT}^B = \mathcal{NE}_1^B \subsetneq \mathcal{NE}_2^B \subsetneq \dots \subsetneq \mathcal{NE}_k^B \subsetneq \dots \subsetneq \mathcal{NE}^B.$$

*Proof.* Let  $e = \langle i, k \rangle$  be a recursive bijection from  $\omega \times (\omega - \{0\})$  into  $\omega$  ( $e = \langle i, k \rangle: \omega \times (\omega - \{0\}) \xrightarrow{\text{onto}} \omega$ ). Let  $B(s)$  be the set of all strings put in  $B$  before stage  $s$  and let  $B(0) = \phi$ . During construction of  $B$ , each index  $e = \langle i, k \rangle$  is cancelled at some stage  $n_e$  when we ensure that  $NE_{i,k-1}^B$  does not accept  $L_k(B)$ . Each index will be eventually

cancelled. Let  $n_{-1}=0$  and start at stage 0.

Stage  $s$ . Let  $e=\langle i, k \rangle$  be the first uncanceled index. If any of the following two conditions is not satisfied, then skip this stage and go to next stage. Otherwise, cancel  $e$  at this stage and choose  $n_e=s$  as follows:

suppose  $e-1=\langle j, m \rangle$  for some  $j \geq 0$  and  $m \geq 1$ .

- (i)  $2^s > g_j(n_{e-1})$ ;
- (ii)  $c_i^{s^{k-1}} \cdot g_i(s) < 2^{s^t}$ .

Run the machine  $M=NE_{i,k-1}^{B(s)}$  on  $z=0^s$ . If  $M$  rejects  $z$ , then add to  $B$  some string of the form  $z1^{2^{s+1}}v$  such that  $|v|=|z|^k$  and  $z1^{2^{s+1}}v$  is not queried during any possible computation of  $M$  on  $z$ . Such a string exists because of condition (i) and (ii). If  $M$  accepts  $z$  let  $B(s+1)=B(s)$ .

$$\text{Let } B = \bigcup_{s=0}^{\infty} B(s).$$

By construction,  $NE_{i,k-1}^{B(s)}$  rejects  $z=0^s$  iff  $NE_{i,k-1}^B$  rejects  $z=0^s$  iff  $\exists v[|v|=|z|^k$  and  $z1^{2^{s+1}}v \in B]$  iff  $z \in L_k(B)$ . So,  $NE_{i,k-1}^B$  does not accept  $L_k(B)$ , and hence  $L_k(B) \notin \mathcal{NE}_{k-1}^B$ .  $\square$

**Theorem 3.3.** For every  $k \geq 2$ , there is an oracle  $D$  such that

$$\text{DEXT}^D = \mathcal{NE}_1^D \subsetneq \mathcal{NE}_2^D \subsetneq \dots \subsetneq \mathcal{NE}_k^D = \mathcal{NE}_{k+1}^D = \dots = \mathcal{NE}^D = \text{NEXT}^D.$$

*Proof.* We will say that a string  $y=0^d 1x10^t$  is *admissible* if  $|x| \geq d$  and  $|y|=a_d|x| \geq |x|^{(k+1)/k}$ . Let  $D(s)$  be the set of all strings put in  $D$  before stage  $s$ . During construction of  $D$ , some strings will be reserved for  $\bar{D}$ . An index  $e=\langle i, m \rangle$  (for some canonical enumeration of the pairs of the form  $\langle i, m \rangle$  such that  $i \geq 0$  and  $2 \leq m \leq k$ ) will be cancelled at some stage  $n_e$  when we ensure that  $NE_{i,m-1}^D$  does not accept  $L_m(D)$ . Set  $D(0)=\phi$ ,  $n_{-1}=0$ , and start at stage 0.

Stage  $s$ : Execute the following two routines.

Routine A. For every string  $y$  of length  $s$ , if  $y=0^d 1x10^t$  is admissible, run  $NE_d^{D(s)}$  on input  $x$ . In any such computation only strings of length  $< 2^s$  are queried.

For each such  $y$  and associated  $d$  and  $x$ , if any computation of  $NE_d^{D(s)}$  accepts  $x$ , then place some string of the form  $y1^{2^{s+1}}w$  into  $D$  where

- (i)  $w \in \{0, 1\}^*$ ;  $|w|=|x|^k + \varepsilon$  where  $\varepsilon=0$  or  $1$  so that  $NE_d^{D(s)}$  accepts  $x$ ,
- (ii)  $y1^{2^{s+1}}w$  has not been reserved for  $\bar{D}$  in an earlier stage.

(We will ensure in condition (iv) of the following routine, which is the only routine reserving for  $\bar{D}$ , that such  $w$  is available, if needed.)

Routine B. Let  $e=\langle i, m \rangle$  be the first uncanceled index. If any of the following four conditions is not satisfied, then skip this routine and go to stage  $s+1$ . Otherwise, cancel  $e$  at this routine and choose  $n_e=s$  as follows:

suppose  $e-1=\langle j, h \rangle$  for some  $j \geq 0$  and  $2 \leq h \leq k$ .

- (i)  $2^s > g_j(n_{e-1})$ ;
- (ii) no string of length  $\geq 2^s$  is reserved for  $\bar{D}$ ;
- (iii)  $c_i^{s^{m-1}} \cdot g_i(s) < 2s^m$ ;
- (iv)  $\forall \epsilon, y, d, x, t [\epsilon=0 \text{ or } y=0^d 1x10^t \text{ and } |y| \geq s \text{ and } y \text{ is admissible} \longrightarrow \exists w \{|w|=|x|^{k+\epsilon} \text{ and } (NE_{i,m-1}^{D(s)}) \text{ does not query } y1^{2^{1^t}}w \text{ in the computation on } 0^s\}]$ .

Run the machine  $M=NE_{i,m-1}^{D'}$ , where  $D'=D(s) \cup \{\text{the odd length strings you just added by routine } A \text{ in this stage}\}$ , on input  $z=0^s$ . We reserve for  $\bar{D}$  all strings of length  $\geq 2^s$  queried during any possible computation of  $M$  on  $z$ . If  $M$  rejects  $z$ , then add to  $D$  some string of the form  $z1^{2^{1^t}}v$  such that  $|v|=s^m$  and  $z1^{2^{1^t}}v$  is not queried during any possible computation of  $M$  on  $z$ . If  $M$  accepts  $z$  let  $D(s+1)=D'$ .

$$\text{Let } D = \bigcup_{s=0}^{\infty} D(s).$$

Claim 1. At routine  $B$ , condition (iv) holds.

[Proof. For large enough  $s$  and for any admissible  $y=0^d 1x10^t$  such that

$$s \leq |y| = a_d |x| \leq |x|^{(k+1)/k}$$

$NE_{i,m-1}^{D(s)}$  will be reserved at most

$$c_i^{s^{m-1}} \cdot g_i(s) = 2^{(\log c_i)s^{m-1} + a_i s} \\ 2^{(\log c_i)|x|^{(k+1)(m-1)/k} + a_i |x|^{(k+1)/k}} \\ 2^{|x|^k} \text{ for large enough } x$$

since  $(k+1)(m-1)/k < k$  if  $2 \leq m \leq k$  and  $k \geq 2$ .]

Claim 2. Each index  $e$  can eventually be cancelled.

[Proof is clear.]

Claim 3. When routine  $A$  of stage  $s$  is executed, such a string  $y1^{2^{1^t}}w$  exists and  $y1^{2^{1^t}}w$  is not queried at any earlier stage.

[Proof. Let  $s'$  be the last stage that routine  $B$  was executed before stage  $s$ , and let  $e' = \langle i', m' \rangle$  be the first uncanceled index at stage  $s'$ . So, by Claim 1,

- (1)  $\forall \epsilon, y', d', x', t' [\epsilon=0 \text{ or } 1 \text{ and } y'=0^{d'} 1x'10^{t'} \text{ and } |y'| \geq s' \text{ and } y' \text{ is admissible} \longrightarrow \exists w \{|w|=|x'|^{k+\epsilon} \text{ and } (NE_{i',m'}^{D(s')}) \text{ does not query } y'1^{2^{1^{t'}}}w \text{ in the computation on } 0^{s'}\}]$ .

Let  $y=0^d 1x10^t$  be any admissible string taken at stage  $s$ . Since  $s' < s$ , by (1) there is a string  $w$  such that  $|w|=|x|^{k+\epsilon}$ ,  $y1^{2^{1^t}}w$  is even and  $NE_{i',m'}^{D(s')}$  does not query  $y1^{2^{1^t}}w$  in the computation on  $0^{s'}$ . Since only strings of length  $< 2^{s'}$  are queried at routine  $B$  of stage  $< s'$  (because of condition (i) of routine  $B$ ),  $y1^{2^{1^t}}w$  is not in  $\bar{D}$  at routine  $B$  of earlier stage  $< s$ . Moreover, only strings of length  $< 2^s$  are queried at routine  $A$  of stages  $\leq s$ . So,  $y1^{2^{1^t}}w$  is not queried at any earlier stage.]

Claim 4. When routine  $B$  of stage  $s$  is executed, such a string  $z1^{2^{1^t}}v$  exists and  $z1^{2^{1^t}}v$  is not queried at any earlier stage  $< s$  and routine  $A$  of stage  $s$ .

[Proof. The number of strings queried in all the computation of  $NE_{i,m-1}^{D'}$  on  $z=0^s$  is

less than  $2^{s^m}$  (by (iii)). So there is a string  $z1^{2^{1^s}}v$  of length  $2^s + s^m + s$  that is not queried in the above computation. This  $z1^{2^{1^s}}v$  is not queried at any earlier stage  $< s$  and routine  $A$  of stage  $s$ . For,  $z1^{2^{1^s}}v$  is not in  $\bar{D}$  so far because of condition (ii) of routine  $B$ . So,  $z1^{2^{1^s}}v$  is not queried at routine  $B$  of any stage  $< s$ . Since  $|z1^{2^{1^s}}v| > 2^s$ ,  $z1^{2^{1^s}}v$  is not queried at routine  $A$  of any stage  $\leq s$ .]

Claim 5.  $\mathcal{NE}_{m-1}^D \subseteq \mathcal{NE}_m^D$  for every  $m$  such that  $2 \leq m \leq k$ .

[Proof. Let  $m$  ( $2 \leq m \leq k$ ) be arbitrary. Then take  $i$  ( $\geq 0$ ) arbitrary. Now, let  $e = \langle i, m \rangle$ . By Claim 2,  $n_e$  is determined. Let  $n_e = s$ . By Claim 4,  $NE_{i, m-1}^D$  rejects  $z=0^s$  iff  $NE_{i, m-1}^{D'}$  rejects  $z=0^s$  iff  $\exists v[|v|=|z|^m \text{ and } z1^{2^{1^s}}v \in D]$  iff  $z \in L_m(D)$ . So,  $NE_{i, m-1}^D$  does not accept  $L_m(D)$ , and hence  $L_m(D) \notin \mathcal{NE}_{m-1}^D$ .]

Claim 6.  $\mathcal{NE}_k^D = \text{NEXT}^D$ .

[Proof. Let  $L \in \text{NEXT}^D$  be arbitrary. So, there is an index  $d$  such that  $L = R(NE_d^D)$ . We define a nondeterministic  $2^{1^n}$ -time-bounded OTM  $M$  with oracle  $D$  making at most  $|x|^k$  nondeterministic moves as follows:

Given an input  $x$ , first  $M$  constructs a string  $y$  such that

$$y = 0^s 1 x 10^t \text{ and } |y| = a_d |x| \leq |x|^{(k+1)/k} \text{ and } |x| \geq d.$$

Since there are only finitely many  $x$ 's for which there is no such  $y$ , we make a finite table so that  $M$  accepts  $x$  iff  $x \in L$  for such  $x$ 's. Then  $M$  guesses a string  $w$  such that  $|w| = |x|^k + \varepsilon$  ( $\varepsilon = 0$  or  $1$ ) and  $y^{2^{1^{|w|}}}w$  is odd.  $M$  accepts  $x$  if  $y^{2^{1^{|w|}}}w \in D$ . Otherwise  $M$  rejects  $x$ . Let  $|y| = s$ . By Claim 3,  $M$  accepts  $x$  iff  $y1^{2^{1^{|w|}}}w \in D$  iff  $NE_d^{D(s)}$  accepts  $x$  iff  $NE_d^D$  accepts  $x$  iff  $x \in L$ . Guessing such a string  $w$  can be executed in  $|x|^k$  nondeterministic moves,  $M$  can decide whether it accepts  $x$  within  $2^{c|x|}$  steps for some  $c$ . Thus  $L = R(M)$ . Consequently,  $L \in \mathcal{NE}_k^D$  and hence  $\text{NEXT}^D \subseteq \mathcal{NE}_k^D$ .]

This completes the proof of Theorem 3. 3.  $\square$

#### 4. Closure property under complementation

We do not know whether  $\mathcal{NE}_k$  is closed under complementation for any  $k \geq 2$ . However in the relativized case we can exhibit oracle sets for each side of question.

**Theorem 4. 1.** For each  $k \geq 2$ , there is an oracle  $E$  such that  $\mathcal{NE}_k^E$  is not closed under complementation.

*Proof.* We construct an  $E$  in stages. We denote by  $E(s)$  the finite set of strings placed into  $E$  prior to stage  $s$ . Let  $E(s) = \phi$ ,  $n_{-1} = 0$  and start at stage 0.

Stage  $s$ . Choose a sufficiently large  $n_s$  so that

- (i)  $n_s > n_{s-1}$ ;
- (ii)  $2^{n_s} > g_{s-1}(n_{s-1})$ ;
- (iii)  $g_s(n_s) < 2^{n_s^k}$ .

Run the machine  $M = NE_{t,k}^{E(s)}$  on  $x = 0^n$ . If  $M$  accepts  $x$ , then choose any accepting computation and place into  $E$  some string of the form  $x1^{2^{i+1}}y$  such that  $|y| = |x|^k$  and  $x1^{2^{i+1}}y$  is not queried in the computation. Such a string  $x1^{2^{i+1}}y$  exists and  $x1^{2^{i+1}}y$  is not queried at any earlier stage (by (i), (ii) and (iii)). If  $M$  rejects  $x$  let  $E(s+1) = E(s)$ . If at least one of conditions (i)–(iii) does not hold, then let  $E(s+1) = E(s)$ .

$$\text{Let } E = \bigcup_{s=0}^{\infty} E(s).$$

By construction,  $NE_{s,k}^E$  accepts  $x$  iff  $NE_{s,k}^{E(s)}$  accepts  $x$  iff  $\exists y[|y| = |x|^k \text{ and } x1^{2^{i+1}}y \in E]$  iff  $x \in L_k(E)$  iff  $x \in \overline{L_k(E)}$ . Consequently  $NE_{s,k}^E$  does not accept  $\overline{L_k(E)}$ . Therefore  $\overline{L_k(E)} \in \mathcal{N}\mathcal{E}_k^E$ .  $\square$

**Lemma 4. 2** (Constant speed-up). For any time-constructible  $g(n)$  and any oracle  $X$ ,  $\mathcal{N}\mathcal{E}_{g(n)}^X = \mathcal{N}\mathcal{E}_{g(n)/2}^X$ , where  $\mathcal{N}\mathcal{E}_{g(n)}$  denote the class of languages acceptable by  $2^{\text{lin}}$ -time-bounded Turing machines making at most  $g(n)$  nondeterministic moves on inputs of length  $n$  for each  $n$ .

*Proof.* See Kintala-Fischer<sup>5)</sup>.  $\square$

**Lemma 4. 3.** For every  $k \geq 1$ ,  $\mathcal{N}\mathcal{E}_k = \mathcal{N}\mathcal{E}_{k+1}$  implies  $\mathcal{N}\mathcal{E}_{k+1} = \mathcal{N}\mathcal{E}_{k+2}$ .

*Proof.*  $k (\geq 1)$  is fixed. Clearly,  $\mathcal{N}\mathcal{E}_{k+1} \subseteq \mathcal{N}\mathcal{E}_{k+2}$ .

For any  $L_1 \in \mathcal{N}\mathcal{E}_{k+2}$ , there is an index  $i$  such that  $L_1 = R(NE_{t,k+2})$ . Let  $M_1 = NE_{t,k+2}$ , and let  $L_2 = \{w10^m \mid w \in L_1 \text{ and } |w10^m| = |w| \lceil |w|^{1/(k+1)} \rceil\}$ . From  $M_1$  one can construct a nondeterministic  $2^{\text{lin}}$ -time-bounded Turing machine  $M_2$  making at most  $n^{k+1}$  nondeterministic moves on inputs of length  $n$  for each  $n$ . Thus,  $L_2 = R(M_2) \in \mathcal{N}\mathcal{E}_{k+1}$ . Now, if  $\mathcal{N}\mathcal{E}_k = \mathcal{N}\mathcal{E}_{k+1}$ , then there is an index  $j$  such that  $L_2 = R(NE_{j,k})$ . Let  $M_3 = NE_{j,k}$ . But from  $M_3$  one can construct a nondeterministic Turing machine  $M_4$  which accepts  $L_1$  and on input  $w$  uses the same number of nondeterministic moves as  $M_3$  uses on input  $w10^m$  where  $|w10^m| = |w| \lceil |w|^{1/(k+1)} \rceil$ , on input  $w$ ,  $M_4$  uses  $|w10^m|^k (\leq |w|^k (|w|^{1/(k+1)} + 1)^k < Cw^{k+1}$  for some  $C$ ) nondeterministic moves within  $2^{c|w|}$  steps for some  $c$ . Thus, by Lemma 4. 2,  $L_1 = R(M_4) \in \mathcal{N}\mathcal{E}_{k+1}$ . But  $L_1$  was chosen as an arbitrary language in  $\mathcal{N}\mathcal{E}_{k+2}$ . Hence,  $\mathcal{N}\mathcal{E}_{k+2} = \mathcal{N}\mathcal{E}_{k+1}$ .  $\square$

**Theorem 4. 4.** For every  $k \geq 2$ , there is an oracle  $F$  such that

- (1)  $\mathcal{N}\mathcal{E}_k^F$  is closed under complementation and
- (2)  $\text{DEXT}^F \neq \mathcal{N}\mathcal{E}_k^F$ .

*Proof.* Take the oracle  $F$  such that  $\mathcal{P}^F \neq \mathcal{N}\mathcal{P}^F$  but  $\mathcal{N}\mathcal{P}^F$  is closed under complementation. (See Theorem 5 in Baker-Gill-Solovay<sup>1)</sup>.)

We show that  $\mathcal{N}\mathcal{E}_k^F$  is closed under complementation. Consider

$$A_k(F) = \{0^i 1 x 10^n \mid \text{some computation of } NE_{t,k}^F \text{ accepts } x \text{ in no more than } n \text{ steps}\}.$$

Then  $A_k(F) \in \mathcal{N}\mathcal{P}^F$  and hence  $\overline{A_k(F)} \in \mathcal{N}\mathcal{P}^F$ .

So, let  $A_k(F) = R(NP_i^F)$  for some  $i$ , where

$$NP_i$$

is the  $i$ -th nondeterministic polynomial-time-bounded OTM with strict time-bound  $p_i$ . Here  $p_i(n) = C_i n^{C_i}, C_0 < C_1 < \dots$ . It is obvious that above  $NP_i$  making at most  $|x|^k$  nondeterministic moves on inputs of the form  $0^i 1 x 10^n$ . Let  $L \in \mathcal{N}\mathcal{C}_k^F$  be arbitrary. Since  $A_k(F)$  is  $\mathcal{N}\mathcal{C}_k^F$ -complete, there is an exponential-time-computable function  $\varphi : \Sigma^* \rightarrow \Sigma^*$  such that  $x \in \bar{L}$  iff  $\varphi(x) \in \overline{A_k(F)}$ . Define an OTM  $M$  with oracle  $D$  as follows: Given  $x$   $M$  constructs  $\varphi(x)$ . This is done in exponential time. Ask if  $\varphi(x)$  is in  $\overline{A_k(F)}$ . Then  $M$  simulates  $NP_i^F$  on the string  $\varphi(x)$  and if  $NP_i^F$  accepts  $\varphi(x)$   $M$  accepts  $x$ . This is (nondeterministically) done within  $p_i(|\varphi(x)|)$  steps  $\leq 2^{a|x|}$  steps for some constant  $a$ . And  $NP_i^F$  making at most  $|x|^k$  nondeterministic moves on inputs of length  $\varphi(x)$ . So, the entire computation of  $M$  on  $x$  is done in exponential time with at most  $|x|^k$  nondeterministic moves. Hence  $L \in \mathcal{N}\mathcal{C}_k^F$ .

Now we claim  $DEXT^F \neq \mathcal{N}\mathcal{C}_k^F$ . For, suppose  $DEXT^F = NEXT^F$ . Then by the relativized form of a theorem of Book's<sup>2)</sup>,  $Tally(\mathcal{P}^F) = Tally(\mathcal{N}\mathcal{P}^F)$ , where  $Tally(\mathcal{C})$  is the subclass of  $\mathcal{C}$  consisting of only tally languages in  $\mathcal{C}$ . In their proof of Theorem 5 in Baker-Gill-Solovay<sup>1)</sup>, we can replace their  $L(F) = \{x | \exists y \in F[|y| = |x|]\}$  by Hopcroft-Ullman's  $L'(F) = \{0^n | \exists y \in F[|y| = n]\}$  (see [4; p. 363]) so that  $L'(F) \in \mathcal{N}\mathcal{P}^F - \mathcal{P}^F$ . This implies  $Tally(\mathcal{P}^F) \neq Tally(\mathcal{N}\mathcal{P}^F)$ , a contradiction. Consequently, we must have  $DEXT^F \neq NEXT^F$ . Therefore, by Lemma 4. 2.  $DEXT^F \neq \mathcal{N}\mathcal{C}_k^F$  for every  $k \geq 2$ .  $\square$

**Corollary 4. 5.** For each  $k \geq 2$ , there is an oracle  $F$  such that

- (1)  $\mathcal{N}\mathcal{P}^F$  is closed under complementation and
- (2)  $DEXT^F \neq \mathcal{N}\mathcal{C}_k^F$ .

### 5. NEPT = ? co-NEPT question

In this last section, we investigate the NEPT = ? co-NEPT question. Now, we have two theorems about relativized versions of this question.

**Definition 5. 1.** For any oracle  $X$ , let

$$L_{exp}(X) = \{0^n | \exists y[|y| = 2^n \text{ and } y \in X]\}.$$

Clearly,  $L_{exp}(X) \in NEXT^X$ . So,  $L_{exp}(X) \in NEPT^X$ .

**Definition 5. 2.** For any oracle  $X$ , let

$$K(X) = \{0^i 1 x 10^n | \text{some computation of } NEP_i^X \text{ accepts } x \text{ within } 2^n \text{ steps}\}.$$

Clearly,  $K(X) \in NEXT^X$ . So,  $K(X) \in NEPT^X$ .

**Theorem 5. 3.** There is an oracle  $G$  such that

- (1)  $NEPT^G$  is closed under complementation and
- (2)  $DEPT^G \neq NEPT^G$ .

*Proof.* It is easily that  $NEPT^G$  is closed under complementation if and only if  $\overline{K(G)} \in NEPT^G$ . We shall construct an oracle  $G$  such that (i)  $L_{exp}(G) \in NEPT^G - DEPT^G$

and (ii)  $u \in \overline{K(G)}$  iff  $u$  is a prefix of some string  $v$  in  $G$  such that  $|v|=|u|^2$ . Then  $\text{DEPT}^G \neq \text{NEPT}^G$  from (i); and  $\overline{K(G)} \in \text{NEPT}^G$  and so  $\text{NEPT}^G$  is closed under complementation.

At stage  $s$  in the construction, we decide the membership in  $G$  of all strings of length  $2^s$ . In the course of the construction, some strings will be reserved for  $\overline{G}$ , that is, designated as nonmembers of  $G$ . An index  $e$  will be cancelled at some stage when we ensure that  $\text{DEP}_e^G$  does not accept  $L_{\text{exp}}(G)$ .  $G(s)$  denotes those strings placed into  $G$  prior to stage  $s$ . Let  $G(0) = \phi$  and start at stage 0.

Stage  $s = 2i$ . For every string  $z$  of length  $2^s$  not reserved for  $\overline{G}$  at an earlier stage, determine the prefix  $u$  of  $z$  of length  $2^i$ . If  $u = 0^j 1x10^j$ , then place  $z$  into  $G$  iff  $\text{NEP}_j^{G(s)}$  does not accept  $x$  in fewer than  $2^i$  steps.

Stage  $s = 2i + 1$ . Let  $e$  be the first uncanceled index. If any string of length  $\geq 2^s$  has been reserved for  $\overline{G}$ , or  $h_e(s) \geq 2^{2^i}$ , then add no elements to  $G$  at this stage. Otherwise, run  $\text{DEP}_e^{G(s)}$  on input  $0^s$  and reserve for  $\overline{G}$  all strings of length  $\geq 2^s$  queried during this computation. If  $\text{DEP}_e^{G(s)}$  rejects  $0^s$ , then add to  $G$  the least string of length  $2^s$  not queried. Finally cancel index  $e$ .

Every index is eventually cancelled, and when index  $e$  is cancelled at some stage, we have guaranteed that  $\text{DEP}_e^G$  does not accept  $L_{\text{exp}}(G)$ . Therefore  $L_{\text{exp}}(G) \in \text{NEPT}^G - \text{DEPT}^G$ .

At any odd stage  $2i + 1$ , at most  $h_e(s) < 2^{2^i}$  strings are reserved for  $\overline{G}$ , and so fewer  $2^{2^0} + 2^{2^1} + \dots + 2^{2^{i-1}} < 2^{2^i}$  strings of length  $2^{2^i}$  can be reserved for  $\overline{G}$  at odd stages before stage  $2i$ . Therefore every string  $u$  of length  $2^i$  is the prefix of at least one string  $v$  of length  $2^{2^i}$  which is never reserved for  $\overline{G}$ . By construction,  $u \in \overline{K(G)}$  iff  $u$  is the prefix of a string of length  $|u|^2$  in  $G$  and so  $\overline{K(G)} \in \text{NEPT}^G$ .  $\square$

**Theorem 5. 4.** There is an oracle  $H$  such that  $\text{DEPT}^H \subsetneq \text{NEPT}^H \cap \text{co-NEPT}^H \subsetneq \text{NEPT}^H$ .

*Proof.* We may assume that for the  $\{C_i | i \in \omega\}$ ,

$$(1) C_0 > 0 \text{ and } 2^{(2C_{i+1}-1)^2} > h_i(2C_i) \text{ for all } i$$

holds. Let for any  $X$

$$L_{\text{even}}(X) = \{x | |x| \text{ is even and } \exists y [y \in X \text{ and } |y| = 2^{|x|^2}]\}.$$

$$L_{\text{odd}}(X) = \{x | |x| \text{ is odd and } \exists y [y \in X \text{ and } |0y| = 2^{|x|^2}]\}.$$

We shall construct  $H$  such that

$$(2) L_{\text{even}}(H) \in \text{NEPT}^H - \text{co-NEPT}^H,$$

$$(3) L_{\text{odd}}(H) \in \text{NEPT}^H \cap \text{co-NEPT}^H - \text{DEPT}^H,$$

and such that

$$(4) \forall n [n \text{ is odd} \longrightarrow \exists y (0y \in H \text{ and } |0y| = 2^{n^2}) \text{ iff } \neg \exists y (1y \in H \text{ and } |1y| = 2^{n^2})]$$

holds. (4) implies  $\overline{L_{\text{odd}}(H)} \in \text{NEPT}^H$ , and hence  $L_{\text{odd}}(H) \in \text{NEPT}^H \cap \text{co-NEPT}^H$ . Let  $H(s)$  be the set of all strings put in  $H$  before stage  $s$  and let  $H(0) = \phi$ .

Stage  $s=3i$ . We add all strings  $1^\alpha (\alpha=2^{n^2})$  with  $n$  satisfying the following condition (5) to  $H(s)$  to make  $H(s+1)$ :

(5)  $n$  is odd,  $2^{n^2} < h_t(2C_t)$ ,  $\forall z (|z|=2^{n^2} \rightarrow z \in H(s))$  and  $n \neq 2C_t - 1$ .

Stage  $s=3i+1$ . Let  $z_t=0^{2C_t-1}$  and run  $DEP_t^{H(s)}$  on  $z_t$ . If it rejects  $z_t$  we take a string of the form  $0y$  of length  $2^{(2C_t-1)^2}$  not queried in the computation, and let  $H(s+1) = H(s) \cup \{0y\}$ . Clearly such a string  $0y$  exists. If  $DEP_t^{H(s)}$  accepts  $z_t$  we take a string of the form  $1y$  of length  $2^{(2C_t-1)^2}$  not queried in the computation, and let  $H(s+1) = H(s) \cup \{1y\}$ . Such a  $1y$  exists, too.

Stage  $s=3i+2$ . Let  $x_t=0^{2C_t}$  and run  $NEP_t^{H(s)}$  on  $x_t$ . Suppose  $x_t$  is accepted. Then we choose an accepting computation, and take a string  $w$  of length  $2^{(2C_t)^2}$  not queried in the computation and queried in the computation of  $DEP_t^{H(s-1)}$  on  $z_t$ . Such a  $w$  exists. For, the number of all strings queried in both computation is at most

$$h_t(2C_t-1) + h_t(2C_t) = 2^{\beta t(2C_t-1)} + 2^{\beta t(2C_t)} < 2^{\beta}, \text{ where } \beta = 2^{(2C_t)^2}.$$

Claim 1. (4) holds.

[Proof is obvious by the construction.]

Claim 2. For every  $i$ ,  $DEP_t^H$  rejects  $z_t$  iff  $DEP_t^{H(s)}$  rejects  $z_t$  for  $s=3i+1$ .

[Proof. Consider both computations on  $z_t$  of  $DEP_t^H$  and  $DEP_t^{H(s)}$ . Length of queried strings are  $< h_t(2C_t-1)$ , and lengths of strings put in  $H$  at later stages  $3j+1$  or  $3j+2 > 3i+1$  are  $\geq 2^{(2C_{t-1})^2} > h_t(2C_t)$  by (1). Lengths of strings put in  $H$  at later stage  $3j > 3i+1$  also are  $> h_t(2C_t)$ . Further if a string of length  $2^{(2C_t-1)^2}$  or  $2^{(2C_t)^2}$  is in  $H$ , then it was not queried in the computation of  $DEP_t^{H(s)}$  on  $z_t$ . So, the computation of  $DEP_t^{H(s)}$  on  $z_t$  coincides with that of  $DEP_t^H$ .]

Claim 3. For every  $i$ ,  $NEP_t^H$  accepts  $x_t$  iff  $NEP_t^{H(s)}$  accepts  $x_t$  for  $s=3i+2$ .

[Proof. Consider any computation of  $NEP_t^{H(s)}$  on  $x_t$ . By similar argument as above, we see that lengths of strings put in  $H$  at later stages  $> 3i+2$  are larger than  $h_t(2C_t)$ . And only strings of length  $< h_t(2C_t)$  are contained in  $H(s)$ . If  $NEP_t^{H(s)}$  accepts  $x_t$ , then a string  $w$  of length  $2^{(2C_t)^2}$  is in  $H$  and  $w$  is not queried in a chosen accepting computation. So the computation coincides with a computation of  $NEP_t^H$  on  $x_t$ . Hence  $NEP_t^H$  accepts  $x_t$ . If  $NEP_t^{H(s)}$  rejects  $x_t$ , then no string of length  $2^{(2C_t)^2}$  is in  $H$ . Since  $H(s)$ , of course, contains no string of length  $2^{(2C_t)^2}$ , any computation of  $NEP_t^{H(s)}$  on  $x_t$  is a computation of  $NEP_t^H$  on  $x_t$ , too. Hence  $NEP_t^H$  rejects  $x_t$ .]

By Claim 1,  $L_{\text{odd}}(H) \in \text{NEPT}^H \cap \text{co-NEPT}^H$ , as noted above. By Claim 2, for every  $i$ :

$DEP_t^H$  rejects  $z_t$  iff  $DEP_t^{H(3i+1)}$  rejects  $z_t$  iff  $\exists y [0y \in H \text{ and } |0y| = 2^{|z_t|^2}]$  iff  $z_t \in L_{\text{odd}}(H)$ .

So,  $L_{\text{odd}}(H) \in \text{DEPT}^H$  and hence  $L_{\text{odd}}(H) \in \text{NEPT}^H \cap \text{co-NEPT}^H - \text{DEPT}^H$ .

By Claim 3, for every  $i$ :

$NEP_i^H$  accepts  $x_i$  iff  $NEP_i^{H(3i+2)}$  accepts  $x_i$  iff  $\exists y[y \in H \text{ and } |y| = 2|x_i|^2]$  iff  $x_i \in L_{\text{even}}(H)$   
 iff  $x_i \in \overline{L_{\text{even}}(H)}$ . So,  $\overline{L_{\text{even}}(H)} \in NEPT^H$  and hence  $L_{\text{even}}(H) \in NEPT^H - \text{co-NEPT}^H$ .  $\square$

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